

24 April 2019

**NZ Transport Agency**  
Private Bag 11777  
Palmerston North 4442  
New Zealand  
[www.nzta.govt.nz](http://www.nzta.govt.nz)

JOE BLOGGS  
99 HORSESHOE ROAD  
WEST HARBOUR  
AUCKLAND 0618

Dear Sir/Madam

## Drawbeam immediately revoked

Plate number	VIN	Year
{{Plate}}	{{Vin}}	{{Vehicle Year}}

We are writing to let you know that due to safety concerns, we have revoked the Record of Determination with LT400 number {{Certificate Number}} for the drawbeam on your {{Vehicle Make}} {{Vehicle Model}}, plate number {{Plate}}. We have, however, granted you a three-month exemption during which you may continue to use your drawbeam subject to the conditions set out in the exemption in the attached revocation notice.

This means that you can continue to use your drawbeam for another three months, provided you only tow up to 75% of the maximum towed mass for the drawbeam, and provided you comply with the other requirements set out in the exemption in the attached revocation notice. Once the exemption expires, you must have the drawbeam recertified before you can use it again. It must be recertified by a Heavy Vehicle Specialist Certifier (HVSC) that has 'HVET' authorisation – a list is available at [www.nzta.govt.nz/HVET-certifiers](http://www.nzta.govt.nz/HVET-certifiers)

In addition, an exemption has been granted for a further nine months beyond that period to allow the vehicle to continue to be operated with the drawbeam attached, *so long as the vehicle is not used to tow*. This exemption expires on 30 April 2020.

A formal notice of revocation is enclosed and **takes effect immediately**.

### **Three-month exemption granted, expires at midnight 30 July 2019 – to enable you to use the drawbeam during that time**

You have been granted a three-month exemption and may continue to use the drawbeam during this period before recertification is required. Your exemption notice is enclosed and must be kept with the vehicle that has the affected drawbeam at all times.

Please note that you must comply with all of the conditions set out in the exemption in the enclosed revocation notice. If you do not, we will revoke the exemption.

As set out in the enclosed notice, the conditions of your exemption include that:

1. You must have the drawbeam inspected within 21 calendar days of receipt of this letter by a testing station or original manufacturer or manufacturing certifier or competent person at your regular maintenance workshop (revoked components must be clean when presented for inspection).
  - a) The inspecting person must fill out the attached 'Revoked drawbar or drawbeam inspection form'. Please then email a copy of the inspection form to [chuexemptions@nzta.govt.nz](mailto:chuexemptions@nzta.govt.nz) before the 21 days expires. If we don't receive your inspection form by the due date, or it fails the inspection, your three-month exemption will be revoked.

- b) Please email payment information (as detailed on the back of the inspection form) to [chupayments@nzta.govt.nz](mailto:chupayments@nzta.govt.nz) so we can reimburse your 21-day inspection cost.
  - c) You must keep a copy of the inspection form with the exemption notice. If you are asked to present your exemption notice after the 21-day period and there is no signed and completed inspection form, your exemption will be deemed to have been revoked.
- 2. You must inspect the drawbar daily or before each use. It must be cleaned before each inspection.
  - 3. You must only tow a maximum of up to 75% of the maximum towed mass for the drawbeam.

This means that you will be able to renew the vehicle's Certificate of Fitness without having the drawbeam recertified up until 20 July 2019. If you renew your Certificate of Fitness without the drawbeam being recertified, however, you still won't be able to use the drawbeam after 20 July 2020 until it is recertified.

If you remove the drawbeam from your vehicle or render it inoperable, please advise the Transport Agency by phone (0800 699 000) or by email ([info@nzta.govt.nz](mailto:info@nzta.govt.nz)).

### **Background to the decision to revoke**

In October 2018, Patrick Chu of Transport & Structure Limited was suspended from issuing certifications as a Heavy Vehicle Specialist Certifier and Inspecting Organisation respectively.

Following engineering analysis undertaken by a team of experts at the Transport Agency, in April 2019 we issued a Safety Alert regarding drawbar and drawbeam certifications issued by Mr Chu. This analysis showed design deficiencies not compliant with the applicable standards.

Following careful consideration, we are satisfied on reasonable grounds that the vehicle does not comply with the Land Transport Rule: Heavy Vehicles 2004. Extracts of the relevant legislation are appended to this notice. The full legislation can be found at: [www.legislation.govt.nz](http://www.legislation.govt.nz).

With the safety of you and other road users in mind, we have decided that it is necessary to take action and revoke the Record of Determination. This is the minimum action necessary in the interests of public safety.

### **Financial support**

Although the Transport Agency isn't legally liable to do so, we've agreed to provide financial support to affected vehicle owners, in order to lessen the impact of revocations of certifications issued by Mr Chu.

We will cover the costs of inspection and recertification, except where the certification of your drawbeam is about to expire anyway.

In addition, we will cover the cost of any necessary repairs or replacements required as a result of Mr Chu's work if:

- (a) you purchased the vehicle after the drawbeam had been certified by Mr Chu; or
- (b) Mr Chu was responsible for both designing and certifying the drawbeam; or
- (c) The drawbeam now requires additional work that would not have been required at the time it was certified by Mr Chu but is now required as a result of his work.

The HVSC recertifying the component will provide information to help us identify the above.

If your drawbeam expires within three months, we will not cover costs as these would naturally be incurred as part of the standard recertification process.

Where we do cover the cost of inspections, recertification and/or any necessary repairs or remedial work for your drawbeam, we may (where appropriate) seek to recover these costs from Transport & Structure Limited and/or Patrick Chu, who provided the certification, and anyone else responsible. By filling in the necessary form [DRAW1], you unconditionally and absolutely assign your rights to the Transport Agency against Transport & Structure Limited, Patrick Chu and any other responsible parties to recover these costs.

### **What you need to do so we can cover the costs for you.**

We will reimburse you for costs incurred if work completed meets the conditions mentioned above under 'Financial support'. If these conditions are not met, your application for reimbursement may be declined.

Please complete the enclosed payment application form [DRAW1] or you can download the form from the financial support page on our website at [www.nzta.govt.nz/Patrick-Chu](http://www.nzta.govt.nz/Patrick-Chu).

- Please provide the form to the HVSC who is responsible for recertifying the towing connection, so they can complete the HVSC section of the form and return the form to you.
- Sign the vehicle owner declaration – this must be signed by the vehicle owner or a person authorised to sign it on their behalf.
- Email the completed form and required documentation to [chupayments@nzta.govt.nz](mailto:chupayments@nzta.govt.nz) or post it to the address on the form.

We encourage you to include all invoices from parties completing the works with one reimbursement application form.

Please note that in some circumstances, information provided by the HVSC may result in the Transport Agency not covering repair or replacement costs.

We are continuing to investigate Patrick Chu and further action may be required for some vehicles. Affected customers will be contacted directly.

To view all related information including previously issued letters, please visit our website: [www.nzta.govt.nz/Patrick-Chu](http://www.nzta.govt.nz/Patrick-Chu) or call us on 0800 699 000.

Thank you for prioritising the safety of yourself, your driver and all other road users by promptly having your towing connection recertified.

Yours faithfully,

Brett Aldridge  
Regulatory Compliance Lead  
NZ Transport Agency

## NOTICE AFFECTING THE FOLLOWING VEHICLE

Plate number	VIN	Year
{{Plate}}	{{Vin}}	{{Vehicle Year}}

### Notice of revocation of Record of Determination (LT400 number {{Certificate Number}})

Pursuant to clause 11.3(1)(a) and (b) of the Land Transport Rule: Vehicle Standards Compliance 2002 and acting under delegated authority from the NZ Transport Agency, I, Brett Aldridge, Regulatory Compliance Lead, revoke the Record of Determination (LT400 {{Certificate Number}}) issued in respect of the drawbeam fitted to the heavy vehicle with registration {{Plate}} specified in the table above.

I have made this decision because I am satisfied on reasonable grounds that the Record of Determination in respect of the heavy vehicle drawbeam does not comply with applicable requirements and was issued on the basis of an incorrect determination.

### Notice exempting vehicle from drawbeam certification requirement under specified exemptions granted

Pursuant to section 166 of the Land Transport Act 1998, and acting under delegated authority from the NZ Transport Agency, I, Brett Aldridge, Regulatory Compliance Lead, being satisfied as to the matters in section 166, exempt the vehicle specified in the table above from the requirements specified in Schedule One below, upon the conditions specified in Schedule Two below. I further exempt the vehicle specified in the table above from the requirements specified in Schedule One below, upon the conditions specified in Schedule Three below.

#### Schedule One

**Land Transport Rule: Heavy Vehicles 2004:** clauses 4.4(1), 4.4(2), and 4.4(3). [Note: these are the legal requirements that a drawbeam fitted to a Heavy Motor Vehicle meets certain standards.]

**Land Transport Rule: Vehicle Standards Compliance 2002:** clauses 6.4(1)(e), 6.5(1)(d), 6.5(1)(e), 6.5(2), 7.2(vi), 7.4(1)(d), 7.5(1)(b), 7.5(1)(c) and 7.5(2). [Note: these are the legal requirements that a drawbeam fitted to a Heavy Motor Vehicle must be certified before a Certificate of Fitness can be issued.]

#### Schedule Two Exemption: Exemption permitting use of drawbeam for three months subject to conditions

1. This exemption described in schedule two may be revoked at any time by the Transport Agency by notice in writing to the registered person and/or operator in respect of the relevant vehicle(s).
2. The drawbeam may only be used to tow up to 75% of the maximum towed mass.
3. The revoked drawbeam must be inspected within 21 days of receipt of this exemption. The component must be inspected by a testing station and/or original manufacturer and /or manufacturing certifier and/or competent person at your regular maintenance workshop. The revoked component must be cleaned immediately before being presented for inspection.
4. The inspecting person must fill out the attached 'Revoked drawbar or drawbeam inspection form'. It must then be emailed to [chuexemptions@nzta.govt.nz](mailto:chuexemptions@nzta.govt.nz) within 21 days of receipt of this letter.
5. The drawbeam must be inspected by you or the vehicle operator daily and/or immediately prior to each use, and inspected in accordance with the guidance in the safety alert issued by the Transport Agency on 5 April 2019 (available at [www.nzta.govt.nz/patrick-chu](http://www.nzta.govt.nz/patrick-chu)). In the event any type of deterioration described in the safety alert is identified on the component, use of the drawbeam must cease immediately.
6. The drawbeam must be cleaned prior to each inspection described at 5 above.
7. This exemption applies only to the extent necessary to allow the vehicle(s) specified above to be used on a road without a current Record of Determination for the drawbeam, when the vehicle is not towing a trailer or any other type of vehicle, or is towing in accordance with the terms of this exemption. Operation of the vehicle other than in accordance with the conditions of this exemption, nullifies this exemption in its entirety.

8. If the vehicle is sold with the drawbeam still fitted and the drawbeam has not been recertified, a copy of this notice and attached letter must be provided to the purchaser.
9. A copy of this notice must be carried with the vehicle being operated in accordance with this exemption and be produced to an enforcement officer upon demand. A copy of the inspection form referred to at 4 above must also be kept with the exemption notice. If you are asked to present your exemption notice after the 21-day period and there is no signed and completed inspection form, your exemption will be deemed to have been immediately revoked.
10. Unless earlier revoked, this exemption in schedule two expires at midnight on 30 July 2019.

**Schedule Three Exemption: Exemption permitting vehicle to be operated until 30 April 2020 provided drawbeam not used**

1. This exemption described in schedule three may be revoked at any time by the Transport Agency by notice in writing to the registered person and/or operator in respect of the relevant vehicle(s).
2. The towing of any trailer or other type of vehicle by a vehicle specified above, from 1 May 2019 until 30 April 2020, nullifies this exemption in its entirety.
3. This exemption does not authorise the vehicle(s) specified above to be used to tow any trailer or any other type of vehicle.
4. This exemption applies only to the extent necessary to allow the vehicle(s) specified above to be used on a road without a current Record of Determination for the drawbeam, when the vehicle is not towing a trailer or any other type of vehicle.
5. If the vehicle is sold with the drawbeam still fitted and the drawbeam has not been recertified, a copy of this notice and attached letter must be provided to the purchaser.
6. A copy of this notice must be carried with the vehicle being operated in accordance with this exemption and be produced to an enforcement officer upon demand.
7. Unless earlier revoked, this exemption expires at midnight on 30 April 2020.

Dated at Wellington this {{date}} day of {{Month}} 2019.

## **APPLICABLE LEGISLATION**

### **Land Transport Rule: Heavy Vehicles 2004**

#### **4.4 Drawbeams**

4.4(1) A drawbeam fitted to a vehicle used in a combination must, unless 4.2, 4.3 or 4.4(2) applies, comply with New Zealand Standard 5446: 1987, Code of Practice for Heavy Motor Vehicle Towing Connections: Drawbar Trailers.

4.4(2) A drawbeam fitted to a vehicle that, before 1 February 1989, was certified for compliance with the Recommended Practice for Towing Connections of the New Zealand Truck-Trailer Manufacturers' Federation in Schedule 3 must, by the date of issue of the first certificate of fitness issued on or after 1 April 2006:

(a) comply with New Zealand Standard 5446: 1987, Code of Practice for Heavy Motor Vehicle Towing Connections: Drawbar Trailers; or

(b) be replaced with a drawbeam that complies with New Zealand Standard 5446: 1987, Code of Practice for Heavy Motor Vehicle Towing Connections: Drawbar Trailers.

4.4(3) A drawbeam, fitted to a vehicle, that is modified or repaired on or after 1 April 2005 must comply with New Zealand Standard 5446: 1987, Code of Practice for Heavy Motor Vehicle Towing Connections: Drawbar Trailers.

### **Land Transport Rule: Vehicle Standards Compliance 2002**

#### **11.3 Revocation of evidence of vehicle inspection, conditional permits, certificates of loading, and Records of Determination**

11.3(1) The Agency may revoke, by giving written notice to a vehicle's operator, evidence of vehicle inspection or a conditional permit or a Record of Determination issued under this rule if the Agency is satisfied, on reasonable grounds, that:

(a) the vehicle does not comply with applicable requirements; or

(b) the evidence of vehicle inspection or permit or Record of Determination was issued on the basis of an incorrect determination