

INVESTMENT AUDIT PROGRAMME FOR 2021/22

Attachment 1 to GC 21/03

Investment Audits planned for 2021/22

Investment audits are procedural or technical in their focus.

Procedural investment audits provide assurance that the Waka Kotahi investment in Approved Organisations' programmes is being well managed and delivering value for money results. Large investments are audited every two years, the rest on a three-year cycle. This includes ensuring Approved Organisations comply with Waka Kotahi requirements for funding assistance and procurement. Audits covering Approved Organisations' management of Public Transport Programmes also validate patronage funding claims, concessionary fare schemes, and Total Mobility schemes.

Technical investment audits provide assurance over Approved Organisations' activity management planning, adequacy of data used to assess network quality, value for money and safety and network management. The selection criteria include issues/risks, safety risks including crash rates, funding levels and time since the last audit. The frequency of technical investment audits is on average six to eight years for each Approved Organisation.

Key

	Procedural audit
	Technical audit

Approved Organisations

	Regional Relationship Zone	Approved Organisation	Planned Audit Quarter
1	Upper North Is.	Auckland Transport	2
2		Auckland Transport	4
3		Kaipara DC	2
4		Kaipara DC	1
5		Northland RC	3
6		Whangārei DC	2
7	Central North Is.	Hamilton CC	4
8		Kawerau DC	1
9		Rotorua DC	1

	Regional Relationship Zone	Approved Organisation	Planned Audit Quarter
10		South Waikato DC	3
11		South Waikato DC	1
12		Taupo DC	4
13		Tauranga CC	1
14		Waikato DC	4
15		Whakatane DC	1
16	Lower North Is.	Greater Wellington RC	4
17		Hastings DC	2
18		Horowhenua DC	2
19		Hutt CC	1
20		Hutt CC	3
21		Manawatu DC	2
22		Masterton DC	4
23		New Plymouth DC	3
24		Rangitikei DC	1
25		Wairoa DC	2
26		Whanganui DC	3
27	South Is.	Ashburton DC	1
28		Clutha DC	3
29		Environment Southland	1
30		Grey DC	3
31		Hurunui DC	4
32		Invercargill CC	1
33		Invercargill CC	3
34		McKenzie DC	3
35		Nelson CC	4
36		Nelson CC	2
37		Selwyn DC	2
38		Timaru DC	3
39		Waimakariri DC	4
40		Waitaki DC	2

